

1 ***Development of a public audiology service in Southern Malawi:***
2 ***Profile of patients across two years.***

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15 **Abstract**

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17 Objective: To describe the profile of patients attending the Queen Elizabeth Central Hospital (QECH)
18 audiology clinic in Malawi, over a two-year period (2016-2017).

19 Design: A retrospective patient record review.

20 Study sample: There were 2299 patients assessed at the QECH audiology department between
21 January 2016 to December 2017. Adult patients' ages ranged from 18 to 94 years ($M = 45.8$,
22 $SD = 19.22$). The mean age of children included in this study was 7.7 years ($SD = 5.21$). Overall, 45.4%
23 of patients were female.

24 Results: Of the 61.6% of adults and 41.7% of children found to have some degree of hearing loss,
25 28.3% and 15.4% were fitted with hearing aids, respectively. The number of patients seen in 2017
26 ($n = 1385$) was 34% higher than that of 2016 ($n = 914$).

27 Conclusion: This study found that demand for hearing services is increasing in this Malawian Audiology
28 department but uptake of hearing aids for those in need is low. Future evaluation of service provision
29 and treatment outcomes is needed. Results from this study can be used to inform the development of
30 future audiology clinics in low resource settings.

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34 **Introduction**

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36 It is estimated that 466 million people in the world live with disabling hearing loss [1]. Over 80% of
37 people with disabling hearing loss live in low- and middle- income countries (LMICs) [2]. The prevalence
38 of hearing loss may be higher in LMICs due to the burden of infectious diseases, poorer access to
39 health care and ear protection as well as increased use of unregulated ototoxic medications [3, 4]. It
40 has been reported that public health measures could reduce the global prevalence of hearing loss by
41 50% in LMICs [5].

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43 Unaddressed hearing loss can have a significant impact on an individual's communication, cognition,
44 education, employment and overall wellbeing [6-9]. However, there are many barriers to addressing
45 hearing loss in LMICs. Some of these include the access to ear and hearing services, including assistive
46 technologies such as hearing aids or cochlear implants [5]. Of the 401 million people that need hearing
47 aids globally, approximately 83% either do not use or have access to them. This increases to 90% for
48 people residing in African countries [10]. Some significant barriers to the development of ear and
49 hearing care services in these regions include the lack of local training opportunities and a dearth of
50 contextual data regarding the prevalence and aetiology of hearing loss [11], alongside the lack of
51 appropriate policy efforts needed to allocate the necessary resources [10].

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53 Malawi is a landlocked country in Southern Africa with a population of approximately 17.5 million [12].
54 It is classified as a low income country by the World Bank [13] The WHO estimates 4-5% of the
55 population of sub-Saharan Africa is estimated to have a disabling hearing loss [14]. However, data on
56 the prevalence and causes of hearing loss in the vast majority of countries in sub-Saharan Africa,
57 including Malawi is very limited. A systematic review by Mulwafu et al (2016) investigated the
58 prevalence of hearing loss in the region and found only eight population-based studies with others
59 relying on data from school screening programmes [15]. Hunt et al (2017) carried out a community-
60 based study in rural Malawi and reported a high prevalence of hearing loss in children aged 4-6 years
61 (11.5%) [16]. A recent population- based longitudinal analysis of children with hearing loss in two
62 districts of rural Malawi compared baseline assessment data obtained in 2013 with follow up data from
63 2016 [17]. The study found that over half of all children diagnosed with hearing loss (59.1%) were lost

64 to follow up and there was a low onward referral uptake, particularly for girls and younger children.
65 Similarly, Bright et al (2017) found that 93% of children identified as needing ear and hearing care
66 services in Southern Malawi could not attend their referral appointment [18].

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68 A 2015 survey of Ear Nose and Throat (ENT) services revealed that Malawi, Kenya and South Africa
69 have 0.1, 2, and 5.6 ENT surgeons per million, respectively [19]. When compared to the United
70 Kingdom, where evidence suggests there are 24 ENT surgeons per million people, these numbers
71 demonstrate the huge scarcity of human resources in the region [19]. There are currently three ENT
72 surgeons and three audiologists [20, 21] in Malawi. The majority of ear and hearing care services are
73 only available in urban areas and outreach support is provided to rural areas. This paper focusses on
74 audiology services provided at Queen Elizabeth Central Hospital (QECH), Malawi's largest tertiary
75 referral hospital located in Blantyre, Southern Malawi.

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78 The Development of QECH Audiology Department, Blantyre, Malawi

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80 The first resident ENT surgeon began work at QECH in 2007 [22]. In 2010 he developed a cadre of
81 ENT clinical officers to work at central and district hospitals. This initiative encouraged the use of task
82 shifting to overcome the significant shortage of specialised health professionals. A diploma in 'ENT and
83 Audiology' was introduced to advance the training of clinical officers (secondary school graduates with
84 a diploma in clinical medicine) [23].

85 Recognising the need for audiology services in the country, in 2014, Sound Seekers began work in
86 Malawi to develop the audiology services and work alongside the pre-existing ENT services. Sound
87 Seekers is a UK registered charity, established in 1959, which aims to "deliver practical solutions to
88 support people with hearing loss to realise their rights by enabling access to healthcare and education"
89 [24].

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91 In November 2015, Sound Seekers signed a Memorandum of Understanding with the Malawian Ministry
92 of Health (MoH) to work with QECH to advance audiology services. This ensured commitment and
93 awareness of the need for ear and hearing care services at a government level and outlined the

94 objectives of both parties. Sound Seekers agreed to manage the construction of the clinic, establish
95 the services and train personnel whilst the MoH committed to maintaining the services after the
96 withdrawal of Sound Seekers' support and ensure the trained personnel were employed within the
97 department with job roles corresponding to the relevant, specialist training they had received.

98 In 2015, with three-year funding from the UK Department for International Development (DFID) and
99 Jersey Overseas Aid (JOA), Sound Seekers fully set up audiology services in QECH, including both
100 clinic and outreach services. With the support of the MoH, the QECH Audiology department was opened
101 in 2016. An experienced volunteer audiologist from the United States (CC) was appointed by Sound
102 Seekers from 2015 – 2018 to manage the audiology clinic and train the new audiology staff at QECH.

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104 Sound Seekers funded the training of the first two Malawian audiologists who successfully completed
105 their Masters level (MSc) Audiology training at the University of Manchester (UK) in 2015. At the time
106 of writing, there is one audiologist, five audiology officers, one ear mould technician and an office
107 manager employed by the QECH audiology clinic. Audiology officers are nurses or clinical officers who
108 have received diploma qualifications in audiology or hearing aid acoustics. In April 2018, the volunteer
109 Audiologist handed the management and operations of the clinic and outreach services to the Malawian
110 audiologists, Following completion of the project, all services were handed over to QECH in April 2019.
111 Since the handover, as committed by the MoH, the clinic services continue. However, outreach services
112 have been limited to periods when there is funding available either through the hospital or external
113 organisations.

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116 All clinical equipment to render comprehensive audiological services were procured with funding from
117 donations to Sound Seekers. Audiologists and audiology officers at QECH provide diagnostic testing
118 for patients of all-ages including: pure tone audiometry (PTA), visual reinforcement audiometry (VRA),
119 auditory brainstem response (ABR), auditory steady state response (ASSR) and otoacoustic emissions
120 (OAE). 9

121 For each clinical assessment, a detailed history is taken, followed by ear examination and formal
122 audiological assessment. Occluding ear wax and/or foreign bodies are removed from the ear canal

123 before the hearing assessment. Intervention options at the service include hearing aid fittings, ear wax
124 removal and onward referral to ENT and other specialist services.

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126 Hearing aid provision at QECH, and in Malawi more broadly, is mostly donor dependent. In 2015, a
127 'Hearing Aid Refurbishment Programme (HARP)' was established by Sound Seekers. The HARP
128 supports a small audiology lab based in Zambia to refurbish digital hearing aids donated from individuals
129 and hospitals in the UK. Refurbished hearing aids are then distributed to all Sound Seekers project
130 countries and custom ear moulds are made at each site. The QECH audiology department generates
131 limited income through health insurance payments and patient fees for services and hearing aids. Those
132 that cannot pay receive services without cost. There are no local providers of ear mould materials but
133 QECH audiology clinic has been able to overcome this challenge by using locally available, low cost
134 dental alginate for ear impressions and dental acrylic to create the custom ear moulds. The clinicians
135 have also collaborated with the QECH's bioengineering department to make some of the consumables
136 locally thus reducing the cost of the entire ear mould manufacturing process.

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138 In association with other international charities, visiting ENT surgeons and a cochlear implant
139 manufacturer (MED-EL), 17 children identified with severe to profound hearing loss have successfully
140 received a cochlear implant since 2015 with follow up care provided at QECH audiology department
141 [25]. In addition to clinic-based services, the clinic runs an outreach programme to districts in the
142 Southern province of Malawi where patients are screened, simple treatments provided, hearing aids
143 fitted and referrals made for more complex cases. A sound proofed hearing assessment and research
144 clinic (HARK) vehicle is used for assessments during outreach activities. The audiology service has
145 also developed a bespoke patient database, presenting an opportunity to analyse the profile of patients
146 attending the clinic. Every patient's demographic and clinical data is recorded onto an Excel data
147 collection sheet specifically designed to collate and facilitate analysis of data. Demographic data is
148 collected including gender, place of residence and age. Clinical data includes information pertinent to
149 potential causes of hearing loss, type of assessment carried out, clinical findings and interventions.
150 Throughout the development of the clinic, audiology staff received data collection training and support
151 from Sound Seekers to ensure the data was collected reliably and recorded accurately.

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153 The World Health Assembly resolution on the prevention of deafness and hearing loss (WHA70.13),
154 published in May 2017, provided nine action points for member states [26]. This research article is
155 able to provide potential approaches for the following: “to integrate strategies for ear and hearing care
156 within the framework of their primary health care system” and “to improve access to affordable, cost-
157 effective, high-quality, assistive hearing technologies and products, including hearing aids”.
158 Specifically, we focus on the development of the QECH audiology department and the profile of
159 patients attending the clinic in the first two years of the service.

160 **Methods**

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162 *Study design*

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164 A retrospective patient record review of patient’s assessed at the QECH audiology department
165 between January 2016 and December 2017 was conducted. A convenience sampling approach was
166 taken to include all new patients, seen within QECH audiology department between January 2016
167 and December 2017 in the study sample.

168 *Participants*

169 A total of 2299 patients, 1521 adults and 778 children, were assessed at the QECH audiology
170 department between January 2016 and December 2017. Adult patients’ ages ranged from 18 to 94
171 years (Mean = 45.8, SD = 19.22). The mean age of children included in this study was 7.7 years (SD=
172 5.21). Overall, 45.4% of patients identified as female.

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174 *Data collection procedures*

175 Demographic and clinical data was extracted from the department's clinical records excel spreadsheet
176 including patterns of diagnosis and interventions.

177 *Definitions*

178 The World Health Organization (WHO) definition of disabling hearing loss and hearing loss grading
179 system was used to characterise each patient’s hearing loss [1].

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182 As the database described the configuration and degree of hearing loss qualitatively rather than
183 specifying the actual pure tone average threshold of each ear, the following assumptions were made
184 around the degree of loss for the purposes of reporting in this study:

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- 186 - For sloping losses that were minimal (slope from one degree to the next) the degree was
187 coded according to the better category (e.g. normal to mild was coded as mild);
- 188 - For steeply sloping losses (jump two or more degree categories), the degree was coded
189 according to the average of the two extremes (e.g. mild to severe was coded as moderate)
- 190 - For some losses the categorisation was less clear cut, for example “normal to moderately
191 severe”, and these were therefore coded the same as for “normal to severe” (moderate).
- 192 - The type of hearing loss was coded according to the better ear

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194 ~~The type of hearing loss was coded according to the better ear and in line with the WHO definitions~~
195 ~~[27].~~

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197 *Ethical considerations*

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199 This study was approved by the College of Medicine Research and Ethics Committee (COMREC),
200 University of Malawi (P.04/17/2153). No patient identifiable data was extracted or presented in this
201 study.

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203 *Data Analysis*

204 Data analysis was conducted in Stata (version 15.0) to arrange the data and give basic descriptive
205 statistics (mean and standard deviation). R version 3.6.1 was used to perform a binary logistic
206 regression analysis to predict year (2016 vs. 2017) in which a patient was examined from
207 categorical variables described that patient: age (child, adult); sex (female, male); hearing loss
208 diagnosis (yes, no); recommended intervention; degree of hearing loss. Patient demographics
209 included age, gender and location. The main study outcomes included type of diagnostic assessment,
210 degree and type of hearing loss and type of audiological intervention offered. Results for adults and
211 children were disaggregated for each of the outcomes.

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Results

The number of patients assessed in 2017 (n=1385) was 34% higher than that of 2016 (n=914). In terms of locality, 83% of patients originated from the Southern region of Malawi, with 8.8% from other Malawian regions and 1.3% were from other countries (the location data was only available for patients seen in 2016). Over the two-year period, 41.7% of children and 61.6% of adults attending the clinic were found to have some degree of hearing loss (Table 1).

Table 1. Patients with hearing loss according to age group

| Age category (years) | Total number of patients with hearing loss Total % (n) (n= 1262) |
|----------------------|--|
| 0-5 | 6.6 (83) |
| 6-10 | 7.5 (95) |
| 11-17 | 11.7 (147) |
| 18-29 | 17.9 (226) |
| 30-39 | 13.6 (171) |
| 40-49 | 8.9 (112) |
| 50-59 | 8.8 (111) |
| 60-69 | 11.9 (150) |
| 70-79 | 7.5 (94) |
| 80+ | 5.8 (73) |

Of those with hearing loss, 80.9% of children and 77.0% of adults with hearing loss presented with a bilateral hearing loss. Sensorineural hearing loss was the most common type of loss affecting 66.8% of children and 67.8% of adults with hearing loss. Conductive hearing loss was present in 20% of children and 13.9% of adults with hearing loss. Table 2 describes the type, degree and laterality of hearing losses found in paediatric and adult patients assessed at QECH over the two-year period.

| Table 2. Summary of the degree, type and laterality of hearing losses present in the adult and paediatric population | | |
|--|------------------------|----------------------|
| | Children n= 325 | Adults n= 937 |
| | <i>Total %(n)</i> | <i>Total %(n)</i> |
| Degree of hearing loss (better ear) | | |
| Normal | 13.9 (45) | 21.0 (206) |
| Mild | 16.3 (53) | 16.65 (156) |
| Moderate | 23.1 (75) | 33.1 (310) |
| Severe | 11.7 (38) | 15.7 (147) |
| Profound | 26.8 (87) | 7.4 (69) |
| Unknown | 8.3 (27) | 5.2 (49) |
| Type of hearing loss (better ear) | | |
| Conductive | 20.0 (65) | 13.9 (130) |
| Sensorineural | 66.8 (217) | 67.8 (635) |
| Mixed | 5.9 (19) | 15.2 (142) |
| Unknown | 1.2 (4) | 0.5 (5) |
| Missing | 6.2 (20) | 2.7 (25) |
| Laterality of hearing loss | | |
| Bilateral | 80.9 (263) | 76.9 (721) |
| Unilateral | 15.4 (50) | 22.5 (211) |
| Unknown | 3.7 (12) | 0.5 (5) |

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245 Table 3 highlights the causes of hearing loss in adults in children assessed at QECH. Overall, 18.5%
 246 of children with hearing loss presented with chronic suppurative otitis media (CSOM) and 8.6% had a
 247 history of ototoxic medication administration. Presbycusis was a major cause of hearing loss in adults
 248 (19.7%). CSOM was the cause for 14.4% of adults. The cause of hearing loss was unknown for 56.7%
 249 of adults with hearing loss, and 65.9% among children.

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Table 3. Suspected causes of hearing loss for children and adult patient's diagnosed with hearing loss in January 2016- December 2017

| Suspected cause of hearing loss | Children %(n) n=325 | Adults %(n) n=937 |
|---------------------------------|------------------------|----------------------|
| ANSD | 0.6 (2) | 0.1 (1) |
| CSOM | 18.8 (61) | 14.4 (135) |
| Malaria | 0.9 (3) | 0 |
| Meningitis | 1.9 (6) | 1.1 (11) |
| Mumps | 0.9 (3) | 0.1 (1) |
| OME | 2.2 (7) | 2.1 (20) |
| Ototoxicity | 8.6 (29) | 3.5 (33) |
| Presbycusis | 0 | 19.7 (185) |
| Tuberculosis | 0 | 1.3 (12) |
| Trauma | 0.3 (1) | 0.8 (7) |
| Noise | 0 | 0.1 (1) |
| Unknown | 65.9 (214) | 56.7 (531) |

ANSD: Auditory Neuropathy Spectrum Disorder

CSOM: Chronic Suppurative Otitis Media

OME: Otitis Media with Effusion

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PTA was the most commonly used assessment method in 2016 and 2017 and was performed on 50% of all patients assessed in the two-year period (Table 4). The number of patients tested using electrophysiological methods increased from 20 patients in 2016 to 66 patients in 2017. The use of OAEs also increased from 187 patients in 2016 to 452 in 2017. VRA and play audiometry were reported to have only been used to assess a combined total of 32 patients across both years. Tympanometry was carried out on 84% of patients to assess middle ear function.

Table 4. Type of audiological assessment carried out for patients with and without hearing loss in 2016 and 2017.

| Type of assessment | Patients with hearing loss n=1262 | | Patients without hearing loss n=1037 | | Total Patients 2016 n=914 | Total Patients 2017 n= 1385 |
|----------------------------|--------------------------------------|---------------|---|---------------|---------------------------------|-----------------------------------|
| | 2016 % (n) | 2017 % (n) | 2016 % (n) | 2017 % (n) | 2016 % (n) | 2017 % (n) |
| Pure tone audiometry (PTA) | 79.8 (423) | 59.8 (438) | 35.7 (137) | 25.0 (163) | 61.3(560) | 43.4(601) |
| Play audiometry | 0.2 (1) | 0.6 (4) | 0.3 (1) | 0.2 (1) | 0.2(2) | 0.4(5) |
| VRA | 0.2 (1) | 0.3 (2) | 3.1 (12) | 1.5 (10) | 1.4(13) | 0.9(12) |
| OAE | 15.7 (83) | 31.3 (229) | 27.1 (104) | 34.2 (223) | 20.5(187) | 32.6(452) |
| ABR | 0 | 0.1 (1) | 0.3 (1) | 0.8 (5) | 0.1(1) | 0.43(6) |

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|--------------|----------|----------|------------|------------|-----------|-----------|
| ASSR | 3.6 (19) | 5.9 (43) | 0 | 2.7 (17) | 2(19) | 4.3(60) |
| Data missing | 0.6 (3) | 2.0 (15) | 33.6 (129) | 35.8 (234) | 14.4(132) | 17.9(249) |

VRA: Visual Reinforcement Audiometry, OAE: Otoacoustic Emissions, ABR: Auditory Brainstem Response, ASSR: Auditory Steady State Response

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277 Table 5 summarises the recommended interventions for patients diagnosed with hearing loss. In
278 2016, 21.6% of children with hearing loss were fitted with hearing aids, compared to 12% in 2017.
279 Similarly, 39.4% of adults with hearing loss were fitted with hearing aids in 2016 and this decreased to
280 19.5% in 2017 (Table 5). Referrals to ENT increased over time. In 2016, 16.4% of children with
281 hearing loss and 9.9% of adults with hearing loss were referred to ENT compared to 21.5% and
282 17.2%, respectively in 2017. A further 11.4% of children with hearing loss were referred to a deaf
283 school or special education resource unit and 10.5% were recommended to have sign language
284 training. In order to statistically analyse the differences observed between the 2016 and 2017 patient
285 cohorts, a binary logistic regression was performed, predicting year (2016 vs. 2017) in which a patient
286 was examined from categorical variables described that patient: age (child, adult); sex (female, male);
287 hearing loss diagnosis (yes, no); recommended intervention; degree of hearing loss. . All two-way
288 interactions were included in the model to account for any differences in the distribution across
289 various levels of the categorical variables across the two years that should be attributed to other
290 non-significant differences in category membership. In this model, there were only two
291 coefficients that were significant predictors of year, other than the intercept, and both pertained to
292 recommended intervention (Table 5). The binomial variable indicating that sign language training
293 was recommended ($z = 2.781$, $p = 0.00542$) and the variable indicating that the patient was fitted
294 with a hearing aid ($z = -2.381$, $p = 0.0173$) were the two significant predictors of the year in which
295 a given patient was treated in the logistic regression model. The proportion of patients with
296 hearing loss that were referred to sign language training increased by 4.6% from 2016 to 2017.
297 There was a 12% decrease in the proportion of patients with hearing loss that were fitted with
298 hearing aids from 2016 to 2017.

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Table 5. Recommended interventions for patients diagnosed with hearing loss
p-value column indicates the significance of the main effect of the individual treatment management plan variables, as regressors in a logistic regression, modeling the probability of a given observation being drawn from the 2016 vs. the 2017 sample.

* *p* < .05. ** *p* < .01.

— indicates data not included in the logistic regression

| Individual Management Plan | Children (n=325) | | | Adults (n=937) | | | Combined (n=1262) | | | p-value |
|----------------------------------|------------------|---------------|---------------|----------------|---------------|---------------|-------------------|---------------|---------------|----------|
| | 2016 % (n) | 2017 % (n) | Both % (n) | 2016 % (n) | 2017 % (n) | Both % (n) | 2016 % (n) | 2017 % (n) | Both % (n) | |
| Review in audiology | 16.4 (19) | 19.6 (41) | 18.5 (60) | 18.4 (76) | 16.8 (88) | 17.5 (164) | 17.9 (95) | 17.6 (129) | 17.7 (224) | — |
| Hearing aid fitted | 21.6 (25) | 12 (25) | 15.4 (50) | 39.4 (163) | 19.5 (102) | 28.3 (265) | 35.5 (188) | 17.3 (127) | 25 (315) | 0.017 * |
| Hearing aid recommended | 15.5 (18) | 13.4 (28) | 14.2 (46) | 14.7 (61) | 30 (157) | 23.3 (218) | 14.9 (79) | 25.3 (185) | 20.9 (264) | 0.553 |
| Medication | 0 (0) | 1.91 (4) | 1.23 (4) | 0 (0) | 3.25 (17) | 1.81 (17) | 0 (0) | 2.87 (21) | 1.66 (21) | 0.990 |
| Refer to Ear, Nose, Throat | 16.4 (19) | 21.5 (45) | 19.7 (64) | 9.9 (41) | 17.2 (90) | 14 (131) | 11.3 (60) | 18.4 (135) | 15.5 (195) | 0.973 |
| Refer to Deaf school | 17.2 (20) | 8.13 (17) | 11.4 (37) | 1.21 (5) | 0.191 (1) | 0.64 (6) | 4.72 (25) | 2.46 (18) | 3.41 (43) | 0.896 |
| Refer elsewhere | 1.72 (2) | 3.35 (7) | 2.77 (9) | 0 (0) | 0 (0) | 0 (0) | 0.377 (2) | 0.956 (7) | 0.713 (9) | 0.573 |
| Recommend sign language training | 3.45 (4) | 14.4 (30) | 10.5 (34) | 2.66 (11) | 5.16 (27) | 4.06 (38) | 2.83 (15) | 7.79 (57) | 5.71 (72) | 0.005 ** |
| Recommend speech therapy | 0 (0) | 0.957 (2) | 0.615 (2) | 0 (0) | 0 (0) | 0 (0) | 0 (0) | 0.273 (2) | 0.158 (2) | 0.993 |
| Tinnitus counselling | 0 (0) | 0 (0) | 0 (0) | 0.725 (3) | 2.1 (11) | 1.49 (14) | 0.566 (3) | 1.5 (11) | 1.11 (14) | 0.988 |
| Other | 0 (0) | 0 (0) | 0 (0) | 0.725 (3) | 0.765 (4) | 0.747 (7) | 0.566 (3) | 0.546 (4) | 0.555 (7) | 0.781 |
| No further action | 7.76 (9) | 4.78 (10) | 5.85 (19) | 11.8 (49) | 4.97 (26) | 8 (75) | 10.9 (58) | 4.92 (36) | 7.45 (94) | 0.106 |
| Data missing | 0 (0) | 0 (0) | 0 (0) | 0.483 (2) | 0 (0) | 0.213 (2) | 0.377 (2) | 0 (0) | 0.158 (2) | — |

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312 **Discussion**

313 This study provides a case study of a comprehensive, public sector audiology clinic in Malawi. It also
314 details the profile and clinical findings of patients attending the clinic between 2016 and 2017. Due to
315 the increasing awareness of the availability of specialist ear and hearing care services at QECH, the
316 number of patients assessed in 2017 was double that of 2016 (Table 2).

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319 *Types and causes of hearing loss*

320 The cause of hearing loss was unknown for the majority of patients with hearing loss (56.7% of adults
321 and 65.9% of children). This could be due to several reasons. Firstly, genetic testing and other
322 aetiological investigations of hearing loss were not available at QECH. Further, even if more resources
323 were available, it is possible that the cause would remain unknown. For instance, if an individual
324 developed hearing loss due to an associated viral infection, it may go unnoticed until later in life making
325 it very difficult to ascertain the origin of hearing loss from the medical history at the time of assessment.
326 As another example, for patients with multiple health conditions, there may be numerous potential
327 causes of hearing loss present in the same individual.

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329 In this study, the most common identifiable cause of hearing loss in children was CSOM (18.8%).
330 Chronic suppurative otitis media (CSOM) is particularly prevalent, preventable cause of acquired
331 hearing loss in school aged children [28, 29] and is characterised by persistent discharge from the
332 middle ear through ear drum perforation often associated with varying levels of hearing loss [30].
333 Population-based studies have also found CSOM to be a very common cause of hearing loss in children
334 in Swaziland and Botswana [31] and up to 90% of CSOM cases can occur in low income countries [29].
335 A systematic review reported that 24% of preschool children had a hearing loss due to ear wax
336 impaction [15]. Due to the nature of the clinical data presented in this study, wax impaction is not cited
337 as a reason for hearing loss because impacted wax is removed prior to hearing assessment at QECH
338 audiology department. According to medical files and the individual patient's medical history, 8.6% of
339 children with hearing loss had been administered with some form of ototoxic medication. This is a higher

340 than the data published by WHO that estimates 4% of childhood hearing losses to be attributed to
341 ototoxic medication [32].

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343 There are a limited number of studies detailing the clinical findings of an audiology clinic a low resource
344 setting such as Malawi. Lasisi et al. (2006) reported results of a retrospective review of paediatric
345 patients (n=713), seen at an outpatient otorhinolaryngologic clinic in Ibadan, Nigeria [33] and found
346 14% of children had some form of sensorineural hearing loss. This was considerably lower than the
347 results reported in the present study. Lasisi et al (2006) recognised the unrepresentatively low number
348 of people with sensorineural hearing losses attending the otorhinolaryngologic clinic and cited potential
349 reasons including poor access to healthcare and widespread poverty [33]. The number of children
350 presenting with CSOM was also lower (4.9% vs 18.8%) than reported in the present study but hearing
351 losses associated with ototoxic medication was similar (12.6% vs 8.6%).

352

353 Banda et al (2018) described the clinical findings of children seen in a public sector audiology
354 department in Botswana and reported 32% of children under 10 years of age presented with hearing
355 loss [34]. This is similar to the proportion of similar aged children with hearing loss found in the present
356 study (34%). However, the number of children that present with severe to profound levels of hearing
357 loss at audiology clinics varies in LMICs. Banda et al (2018) reported 36.6% of hearing loss in children
358 (under 10 years of age) was severe to profound in degree [34] which is much lower than in the present
359 study (54.8%) and from clinic based data from rural Nicaragua (86%) [3], when comparing children of
360 the same age range. It is likely that parents seek ear and hearing care services for their children once
361 their difficulties appear more apparent which might explain the high levels of severe to profound hearing
362 loss detected at the clinic level.

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370 *Audiological Assessment*

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372 The most commonly used assessment method was PTA. Tympanometry was used to assess the middle
373 ear function of 84% of patients and screening OAEs were used to assess 27% of patients, most likely
374 as they are known to be quick to administer and easy to interpret. ABR, ASSR and VRA methods were
375 seldom used. Reasons for a low number of electrophysiological tests carried out include the presence
376 of high electrical interference in the clinic, lack of the training for clinicians to carry out ABR threshold
377 testing and lack of locally available consumables. This highlights the need for further training and a
378 review of the types of audiological tests used to ensure they are suitable for the clinical skills, resources
379 and environment available in low resource settings.

380

381 *Hearing aid uptake*

382 Overall, the uptake of hearing aids was low at QECH (28.3% of adults with hearing loss) and the number
383 of patients fitted with hearing aids decreased from 2016 to 2017. This was surprising due to the
384 adequate number of trained staff and suitable audiological equipment available throughout this time
385 frame and the low-cost nature of providing refurbished hearing aids .However, there are a number of
386 possible reasons to account for the poor uptake. Firstly, during this timeframe, the number of people
387 with profound hearing loss increased, particularly in the paediatric population. People with very limited
388 residual hearing are less likely to use or benefit from hearing aid technology [35]. Furthermore, and
389 specific to this study's population, there are some practical barriers to uptake of healthcare as shown
390 in a study by Bright et al. (2017) which found a 3% uptake of referrals to QECH ear and hearing care
391 services for children residing in a district located 60km away [18]. Although healthcare is free at the
392 point of access in Malawi, Bright et al (2017) reported that families faced challenges in acquiring the
393 necessary funds to afford indirect costs of attending hospital appointments (food, travel and
394 accommodation) as well as feeling fearful or uncertain about the hospital itself [18]. Limited knowledge
395 of ear and hearing health in the general population has also been reported to be a significant barrier to
396 referral uptake in Malawi [18]. These factors, as well as the prospect of needing funds for regular visits
397 to the hospital for hearing aid aftercare and batteries, may have also affected hearing aid uptake in the
398 current study.

399

400 Ramma and Sebothoma (2017) explored factors associated with seeking audiology services for people
401 with hearing loss in Cape Town, South Africa. Despite, audiology services being available at minimal
402 cost in South Africa, only 21% (35/166) of individuals with hearing loss reported seeking support for
403 their hearing loss via audiology services. The researchers found that self- perceived hearing loss was
404 associated with higher likelihood of seeking help but other factors including age, gender, severity of
405 hearing loss, level of education and employment status were not found to be associated [36]. Other
406 studies have suggested that certain cultural and traditional views of medical care [37] as well as the
407 perception that hearing loss is not considered to be a life-threatening condition [38] should be taken
408 into account when observing reluctance to seek help.

409

410 The provision of hearing aids is one of the most common management options for people with hearing
411 loss but hearing aid uptake varies globally. It is estimated that the hearing aid adoption rate for
412 developed countries like the United Kingdom and United States is between 20-25% of people with
413 hearing loss whereas in developing countries adoption rates have been estimated to be 1-8% [39, 40].
414 Hearing aid uptake is a multi-faceted issue and can be affected by cultural opinions of hearing loss,
415 social representation of hearing aids, stigma and a general lack of awareness regarding hearing
416 difficulties and the benefit of using hearing aid technology [38, 41]. A retrospective study reviewing
417 audiological data (n=3894) from an audiology clinic in Limpopo province, South Africa, found that 46%
418 of patients (n=1778) were diagnosed with disabling hearing loss between 2012 and 2014. Of these,
419 only 15% were fitted with hearing aids [42]. In contrast to the present study, the authors cited the
420 distribution of funding within the healthcare system as a key factor affecting hearing aid uptake as the
421 allocated hospital budget could only cover the purchase of 272 hearing aids.

422 Finally, in the present study, 14.2% of children and 23.3% of adults with hearing loss were
423 recommended a hearing aid (Table 5) but had not been fitted. This may have occurred if a patient
424 declined a hearing aid or a hearing aid fitting was booked for a later date. If hearing aid fitting was
425 arranged for a later date these patients would not have been included in overall number of new patients
426 fitted with hearing aids and this may have caused an underestimation in hearing aid uptake from our
427 sample. The clinical database needs to be updated in a timely manner in order to capture a patient's
428 complete journey through the audiology services.

430 The findings presented in this study highlight the need for further research to investigate long-term
431 hearing aid usage and reasons for poor uptake of hearing aids at QECH. Additionally, the development
432 of audiology clinics in rural communities could help strengthen support, raise awareness of the impact
433 of hearing loss and ensure patients are not lost to follow up.

434 The QECH audiology department is the product of collaboration between local, governmental and
435 international partners. An external organisation funded the infrastructure, equipment and training
436 opportunities and the MoH now employ all staff members and the department is embedded in the
437 hospital system. Despite this progress, there is still much work to do for these services to be sustainable.
438 Traditionally, audiology clinics have relied on very costly technology for hearing testing and hearing aid
439 fitting. The lack of local low-cost options makes the clinic reliant on external organisations for
440 refurbished hearing aids and equipment donations until other options become available. Recently, WHO
441 recommended a number of technological and workforce innovations that could lead to increased access
442 to hearing aids in low income countries [43]. These included the use of low-cost hearing aid technology
443 and models of community delivered hearing care [43-46]. It would be beneficial to pilot these innovations
444 in LMICs, particularly in clinics like QECH where there are trained professionals and appropriate testing
445 environments.

446 .

447 In this study, we found 6.7% of patients presented with profound hearing loss. These patients will not
448 gain significant benefit from hearing aids but due to lack of capacity, funds and human resources, many
449 will not have access to cochlear implantation. It is important for audiology services to maintain strong
450 links with the education sector to help teachers better support children with hearing difficulties and
451 allow for more timely referrals to ear , hearing care and sign language support..

452 There is still a significant shortage of ear and hearing care health professionals in Malawi and audiology
453 is not an established profession. Further work is needed to raise the profile of the audiology workforce
454 in Malawi to ensure health professionals obtain the appropriate employment positions, determined by
455 their specialist audiology training. Additionally, when choosing candidates for audiology training
456 programmes, candidates need the sufficient, relevant pre-training qualifications to allow them to be
457 employed by the MoH. Clinical staff at QECH audiology department still have regular access to remote

458 support and advice from Sound Seekers management team and experienced volunteer audiologists.
459 The mentoring and continued clinical and counselling skills training of audiology health professionals
460 and community health workers and the use of task shifting is vital for the continuity of healthcare in
461 LMICs, especially in rural communities [47-49]. Bilateral mentorship between global partners and
462 Malawian ear and hearing care professionals is particularly beneficial as the profession is emerging but
463 still in its infancy [50]. The Vision 2020 Links programme has successfully established a network of
464 global eye care training institutions and similar initiatives could be developed to facilitate ear care
465 training [51].

466 Finally, in order for services to be sustainable, work should be carried out collaboratively between the
467 MoH, existing hospital staff and external organisations to encourage expansion of the current healthcare
468 system to include audiology staffing positions, resource budgeting and succession planning. Recent
469 work has highlighted the benefits of training community health workers in hearing screening and delivery
470 of basic treatments in LMICs [52, 53] as well as the use of validated hearing screening smartphone
471 applications in these populations [54, 55]. These options should be explored further, with a thorough
472 analysis of long-term cost effectiveness and reliability as well as follow up provision, to enhance access
473 to sustainable, comprehensive ear and hearing care services.

474

475 *Strengths, limitations and future work*

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477 The QECH audiology service has been successful in providing audiological care to patients in Southern
478 Malawi since 2016. The department is supported by the Malawian MoH making it a pioneer in sub-
479 Saharan Africa. A key strength of the current study is the amount of data presented including type of
480 clinical assessment, clinical findings and intervention options for patients of all ages seen in the two-
481 year period. Data of this kind, from an audiology clinic in a low resource setting, is relatively rare and
482 can serve to strengthen the processes taking place within the clinic and help the development of other
483 services in other LMICs.

484 There are also some limitations. Firstly, there is a potential underestimation of conductive hearing loss
485 present in this study. Occluding ear wax is removed prior to hearing testing and patients with flat
486 tympanograms, indicating presence of middle ear effusion, are immediately referred to ENT to be

487 administered with medical treatment. Therefore, those with possible conductive hearing loss associated
488 with middle ear effusion and/or wax impaction are not recorded in the clinical database. Another
489 limitation is the database is incomplete, fields including patient's residential location and type of
490 assessment carried out were not completed for all patients included in this study. The data presented
491 here provides a snapshot of the management options administered to patients after the initial diagnosis,
492 including the fitting of hearing aids. However, it does not capture patients' hearing aid usage and
493 progress. Future research should include the use of outcome measures of hearing aid benefit and
494 analysis of the long-term impact of audiology services in LMICs, evidence in these areas is lacking in
495 LMICs. Finally, formal impact and process evaluations of QECH Audiology services could help to
496 understand what worked well and why in order to help others to implement similar programmes.

497 *Conclusion*

498 In this study, we provided a case study of a comprehensive audiology clinic in Blantyre, Malawi which
499 could act as a service provision model for low resource settings. Results indicate a growing demand for
500 ear and hearing care services and have identified specific training needs for clinical staff. Further
501 research is needed to understand the reasons for the low hearing aid uptake found in this study in order
502 to improve long term access to these services.

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